

2653
EHW

PATENT
Attorney Docket No.: ECD-0004
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart, III, *et al.* Examiner: Dinh, Tan X.
Serial No.: 10/023,424 Group Art Unit: 2653
Filing Date: December 12, 2001
Title: SYSTEMS AND METHODS FOR OPTICAL MEDIA
MODIFICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10-6-04

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references BO, BP, OK and PA-PC;
3. Check in the amount of \$180.00 to cover fee under 37 CFR 1.17(p); and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: October 6, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
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Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



PATENT
Attorney Docket No.: ECD-0004

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☒ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): John J. Hart, III, *et al.*
Serial No.: 10/023,424

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference BP is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☒ submission of the previously submitted English-language translation of previously-cited reference BL. References BP and BL are related applications.
 - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

Applicant(s): John J. Hart, III, *et al.*

Serial No.: 10/023,424

Method of payment:

☒ Enclosed is a check in the amount of 180.00.

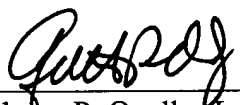
☐ Please charge Deposit Account of 50-1798 in the amount of _____.

☐ Two duplicate copies of this Statement are enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: October 6, 2004
Mills & Onello, LLP
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Facsimile: (617) 742-7774
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

ECD-0004

Application Number

10/023,424

Applicant

John J. Hart III, et al.

Filing Date

12/12/01

Group Art Unit

2653

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BL							
	BM							
	BN							
	BO	WO 98/08180	26/02/98	WO	G06	K	X	
	BP	DE 198 42 392	16/09/98	Germany	G11B	23/28		X
	BQ							

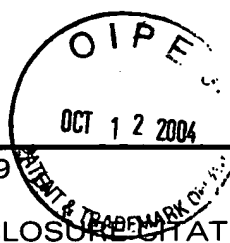
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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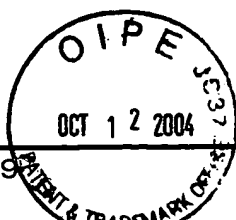
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



Subst. Form PTO-1449				Docket Number (Optional) ECD-0004		Application Number 10/023,424		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				Applicant John J. Hart III, et al.				
				Filing Date 12/12/01		Group Art Unit 2653		
U. S. Patent Documents								
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	OA							
	OB							
	OC							
	OD							
	OE							
	OF							
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	OH							
	OI							
	OJ							
	OK	6,104,679	08/15/00	Sollish	369	33	10/01/98	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	OL							
	OM							
	ON							
	OO							
	OP							
	OQ							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	OR							
	OS							
	OT							
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.								



Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

ECD-0004

Application Number

10/023,424

Applicant

John J. Hart III, et al.

Filing Date

12/12/01

Group Art Unit

2653

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	PA	6,311,305	10/30/01	Sollich, et al.	714	784	03/02/98
	PB	2002/0069389	06/06/02	Sollich, et al.	714	758	11/27/01
	PC	6,718,501	04/06/04	Brody, et al.	714	752	
	PD						
	PE						
	PF						
	PG						
	PH						
	PI						
	PJ						
	PK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	PL							
	PM							
	PN							
	PO							
	PP							
	PQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	PR	
	PS	
	PT	

EXAMINER

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